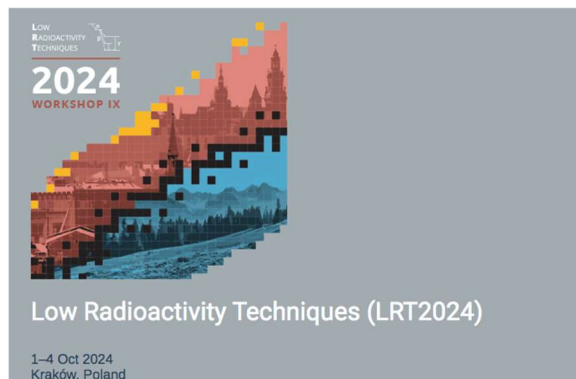


# Updated background studies for the ANAIS dark matter experiment

- ANAIS-112: goal, set-up, performance, results
- Background studies: first model, updates
- ANAIS+: prospects



**Susana Cebrián** [scebrian@unizar.es](mailto:scebrian@unizar.es)

J. Amaré, J. Apilluelo, D. Cintas, I. Coarasa, E. García, S. Hollick, M. Martínez, Y. Ortigoza, A. Ortiz de Solórzano, T. Pardo, J. Puimedón, M. L. Sarsa

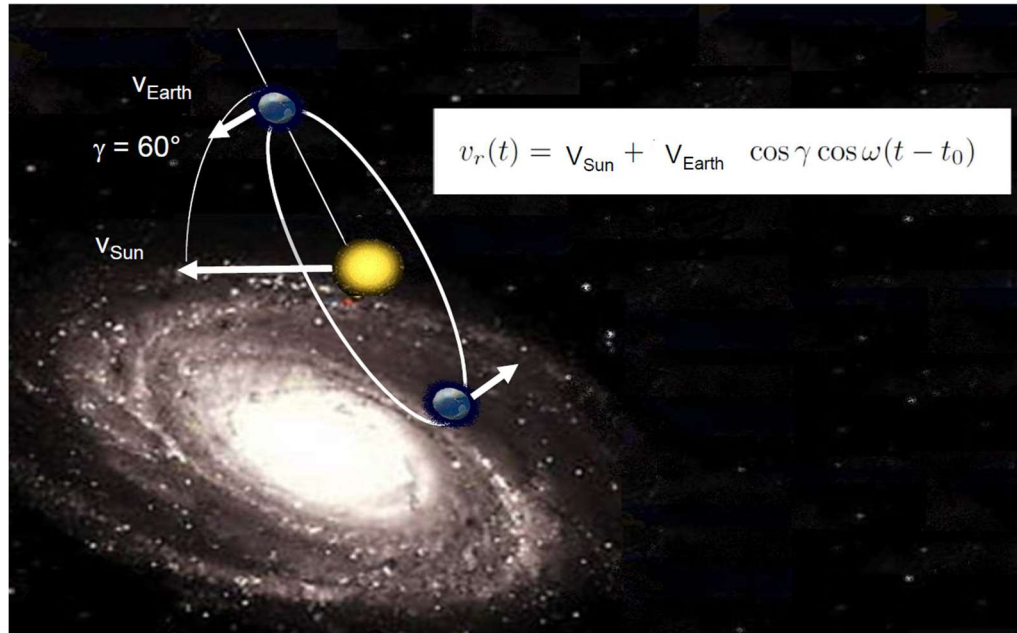
**CAPA** Centro de Astropartículas y  
Física de Altas Energías  
Universidad Zaragoza



**Universidad  
Zaragoza**



# Goal: Dark Matter annual modulation



## Interaction rate of WIMPs

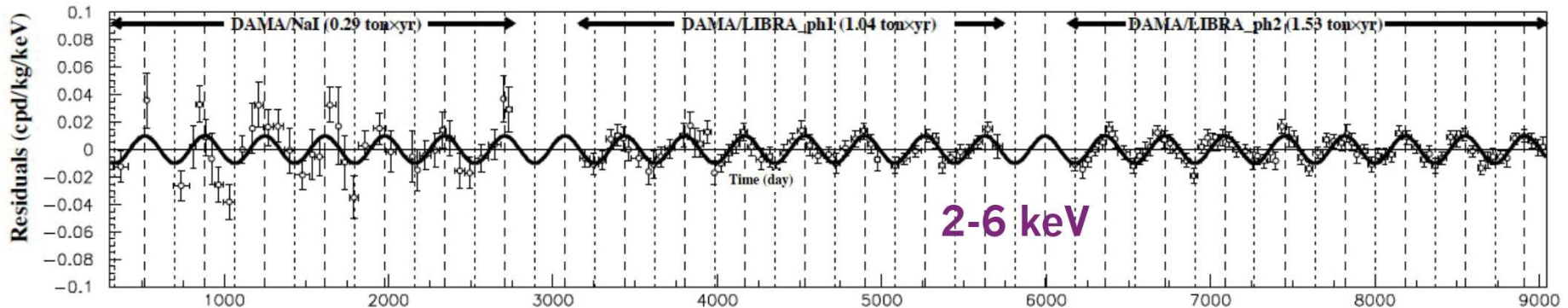
$$S_k(t) = S_{0,k} + S_{m,k} \cos \omega(t - t_0)$$

$k$ : energy bin

- ✓ 1 year period (for SHM)
- ✓ Maximum around June 2<sup>nd</sup>
- ✓ Weak effect (1-10%)
- ✓ Only noticeable at low energy

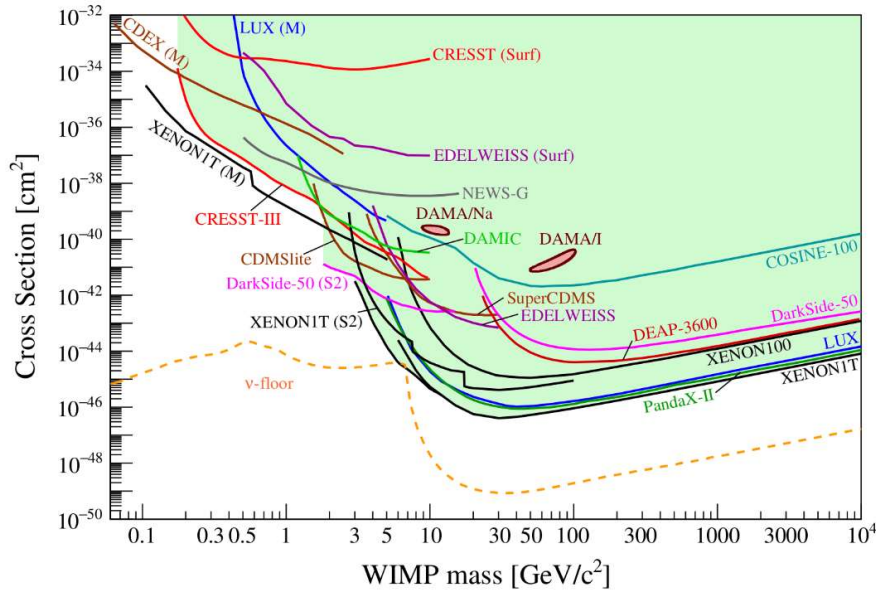
Observed annual modulation signal by **DAMA/LIBRA experiment** (at LNGS, Italy) over 22 y compatible with DM (2.86 t x y) at  $13.7\sigma$  CL

$$S_m = (0.01014 \pm 0.00074) \text{ cpd/kg/keV}$$



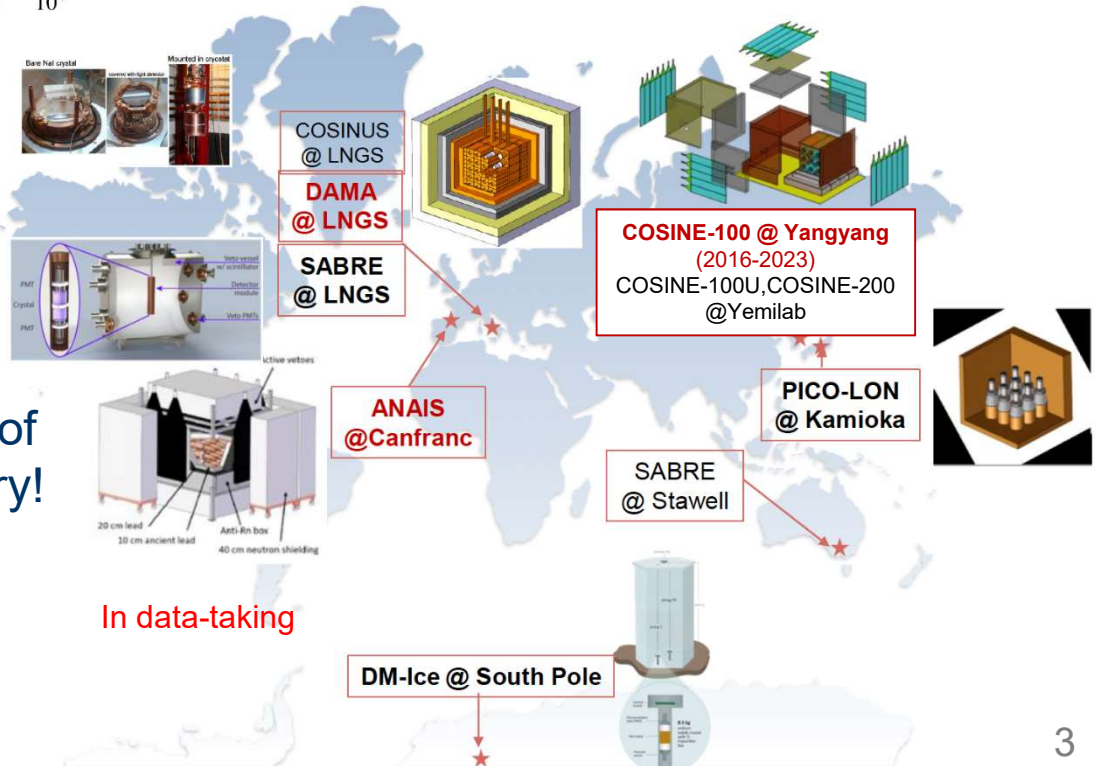
# Goal: Dark Matter annual modulation

APPEC Committee Report, Rep. Prog. Phys. 85 (2022) 056201



Strong tension when interpreting DAMA/LIBRA annual modulation signal as due to DM, even assuming more general halo / interaction models

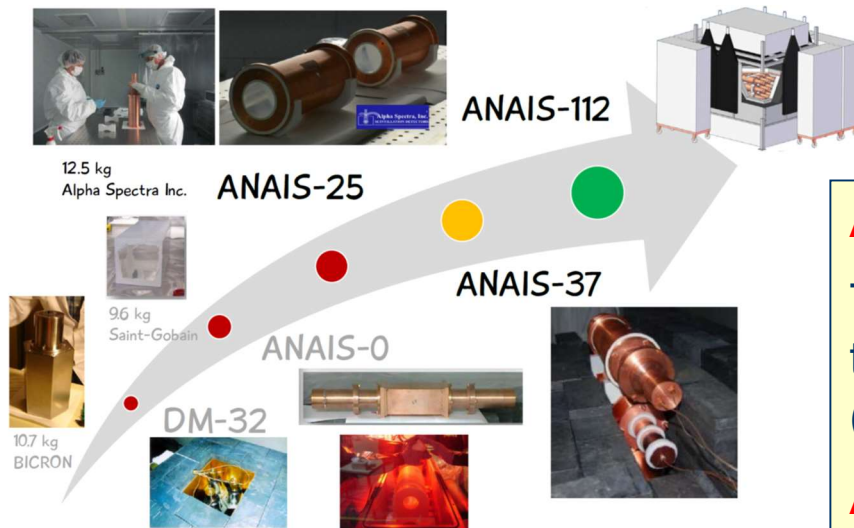
A model-independent proof / disproof with the same NaI target mandatory!



In data-taking



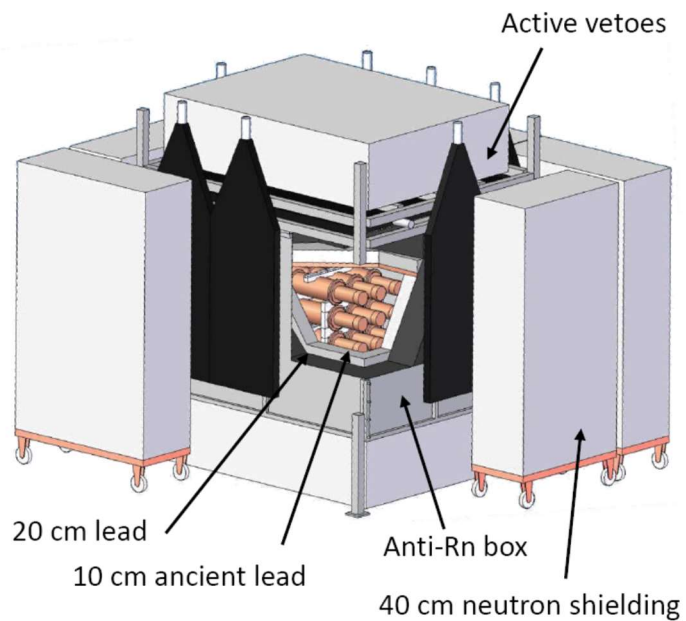
# ANAIS: goal and set-up



**ANAIS** (*Annual modulation with NAI Scintillators*)

To confirm or refute DAMA/LIBRA result using the same technique at a different location  
**(Canfranc Underground Laboratory)**

**ANAIS-112:** 9 detectors, 112.5 kg NaI(Tl)



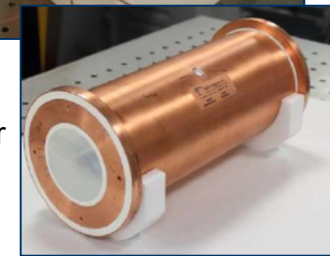
# ANAIS-112: performance

- 9 ultrapure NaI(Tl) crystals from Alpha Spectra Company

<i>Detector</i>	<i>Quality powder</i>	<i>Received at Canfranc in</i>
<b>D0, D1</b>	<90 ppb K	December 2012
<b>D2</b>	WIMPScint-II	March 2015
<b>D3</b>	WIMPScint-III	March 2016
<b>D4, D5</b>	WIMPScint-III	November 2016
<b>D6, D7, D8</b>	WIMPScint-III	March 2017



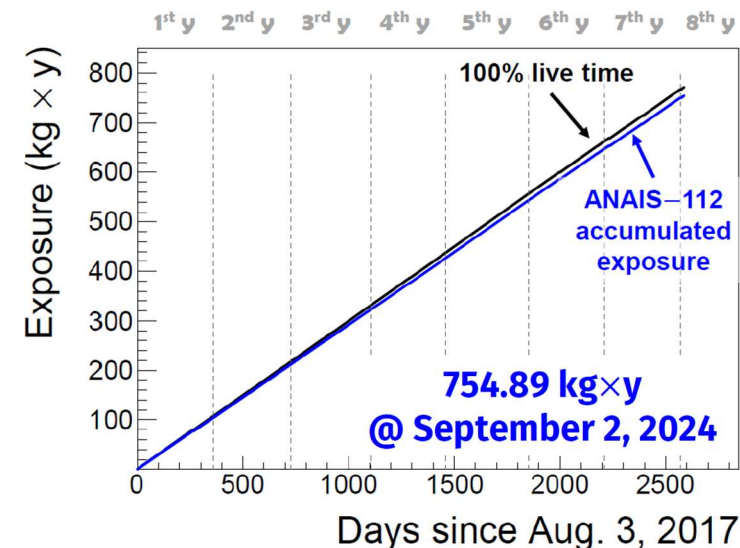
12.5 kg each  
4.75" diameter  
11.75" length



- Cylindrical modules coupled to 2 PMTs (Hamamatsu R12669SEL2) with high QE (~40%)
- Mylar window in copper vessel for external calibration

J. Amaré et al, Eur. Phys. J. C 79 (2019) 228

- Data taking ongoing **since August 2017**, with ~95% live time
- Excellent **light collection** and **energy threshold** in all modules: **~15 phe/keV**, **1 keV<sub>ee</sub>**
- New electronics running in parallel to improve noise rejection

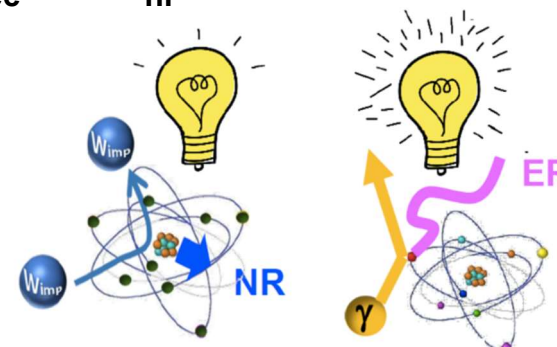


# ANAIS-112: quenching factor determination

Relative efficiency factor for nuclear recoil scintillation  $E_{ee} = Q E_{nr}$

Large dispersion between the many available measurements of  $Q$  for different **NaI detectors**

$$Q_{Na}^{DAMA} = 30 \% \\ Q_I^{DAMA} = 9 \%$$

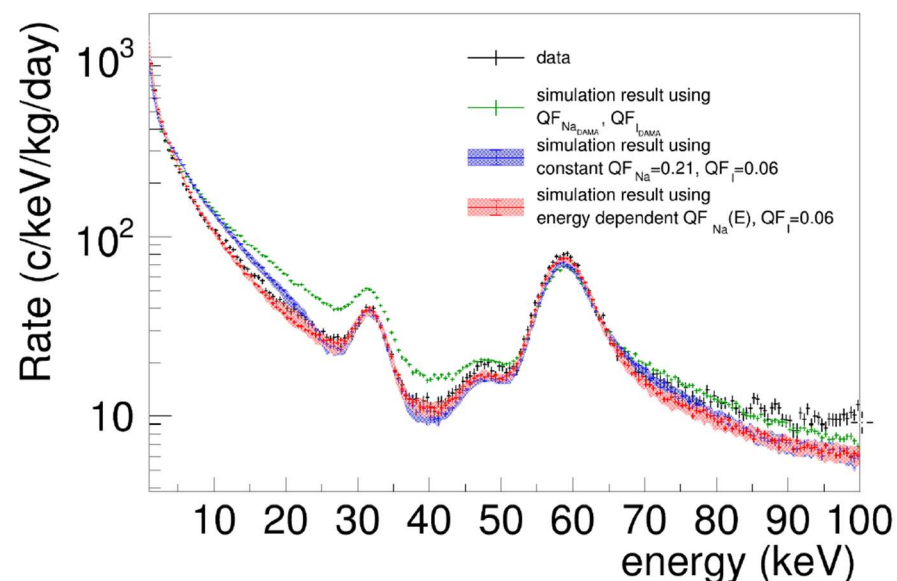


In a scintillator, an **ER** produces much more light than a **NR** of the same energy!

➔  **$Q$  determination for ANAIS-112** crystals ongoing following two approaches

- 1) **Comparing neutron calibration data with  $^{252}\text{Cf}$  source with MC simulation**, assuming a certain  $Q$ 
  - **Constant  $Q_{DAMA}$**  not compatible with ANAIS data
  - **Energy-dependent  $Q$**  (at least for Na) favoured

T. Pardo et al., PoS(TAUP2023)078





# ANAIS-112: quenching factor determination

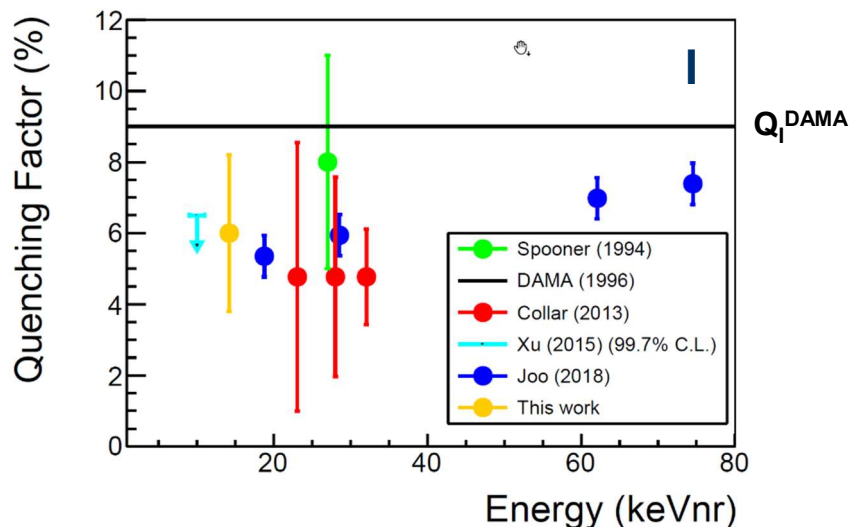
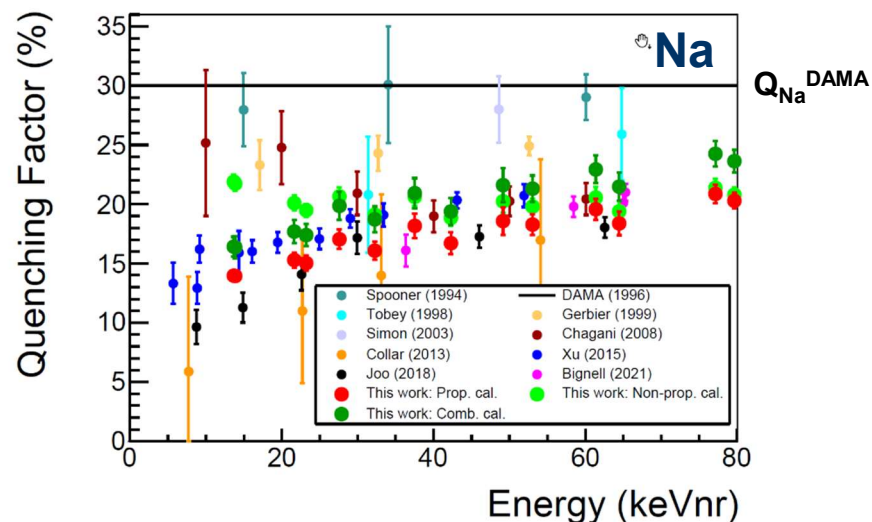
## 2) Measurements at TUNL (Duke University, US) in collaboration with COSINE using a neutron beam

Five small crystals from ANAIS supplier with different powder quality



- Noticeable differences for different energy calibrations (NaI non-linearity)
- Lower Q than DAMA/LIBRA measurement

D. Cintas et al, Phys. Rev. C 110 (2024) 014613



# ANAIS-112: annual modulation results

## - Published annual modulation analysis of 3 y data

- Least square fit of the counting rate

$$\chi^2 = \sum_i \frac{(n_i - \mu_i)^2}{\sigma_i^2} \quad \mu_{i,d} = \left[ R_{0,d} \left( 1 + f_d \phi_{bkg,d}^{MC}(t_i) \right) + S_m \cos(\omega(t_i - t_0)) \right] M_d \Delta E \Delta t$$

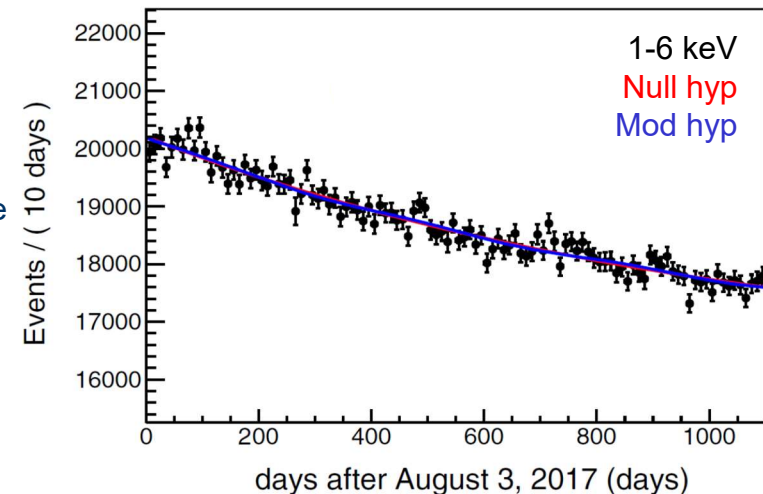
detector d, time bin i

- Null hypothesis well supported
- Best fits for amplitude **incompatible with DAMA/LIBRA at 3.3 (2.7) $\sigma$**  for 1-6 (2-6) keV<sub>ee</sub>

$$S_m = (-0.0034 \pm 0.0042) \text{ cpd/kg/keV (1-6 keV)}$$

$$S_m = (0.0003 \pm 0.0037) \text{ cpd/kg/keV (2-6 keV)}$$

Phys. Rev. D 103 (2021) 102005



## - Reanalysis of 3 y data after applying machine learning techniques to improve sensitivity: region of interest dominated by non-bulk scintillation events

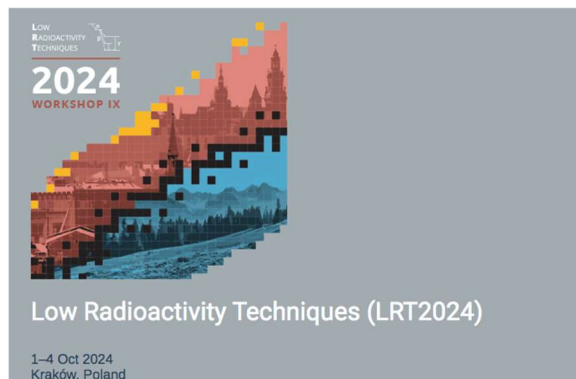
I. Coarasa et al, JCAP11(2022)048; arXiv:2404.17348, accepted in Comm. Phys.

## - Analysis of 6 y data preliminary results



# Updated background studies for the ANAIS dark matter experiment

- ANAIS-112: goal, set-up, performance, results
- **Background studies: first model, updates**
- ANAIS+: prospects



Susana Cebrián [scebrian@unizar.es](mailto:scebrian@unizar.es)

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 CAPA Centro de Astropartículas y Física de Altas Energías Universidad Zaragoza



Universidad Zaragoza



# First background model: inputs

Detailed **background model** for each detector of **ANAIS-112**, based on Geant4 Monte Carlo **simulations** and accurate quantification of **background sources**

J. Amaré et al, Eur. Phys. J. C 76 (2016) 429, Eur. Phys. J. C 79 (2019) 412

- **Activity from external components** measured with HPGe detectors at Canfranc

Component	Unit	<sup>40</sup> K	<sup>232</sup> Th	<sup>238</sup> U	<sup>226</sup> Ra	Others
PMTs (R12669SEL2)	mBq/PMT	97±19	20±2	128±38	84±3	
		133±13	20±2	150±34	88±3	
		108±29	21±3	161±58	79±56	
		95±24	22±2	145±29	88±4	
		136±26	18±2	187±58	59±3	
		155±36	20±3	144±33	89±5	
mean activity all units	mBq/PMT	111±5	20.7±0.5	157±8	82.5±0.8	
Copper encapsulation	mBq/kg	<4.9	<1.8	<62	<0.9	<sup>60</sup> Co: <0.4
Quartz windows	mBq/kg	<12	<2.2	<100	<1.9	
Silicone pads	mBq/kg	<181	<34		51±7	
Archaeological lead	mBq/kg		<0.3	<0.2		<sup>210</sup> Pb: <20
Inner volume air	Bq/m <sup>3</sup>					<sup>222</sup> Rn: 0.6

Upper limits at 95% C.L.

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J. Amaré et al, Eur. Phys. J. C 76 (2016) 429, Eur. Phys. J. C 79 (2019) 412

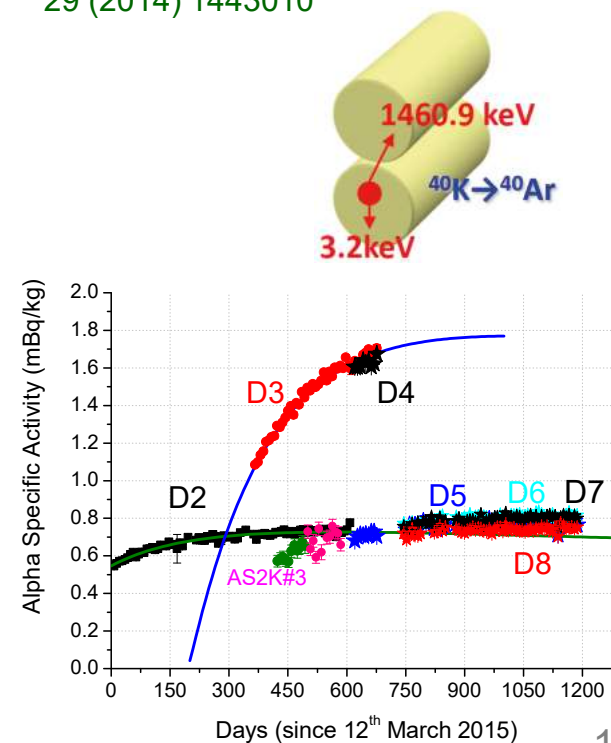
– **Internal activity** directly assessed: mainly  $^{40}\text{K}$ ,  $^{210}\text{Pb}$

Detector	$^{40}\text{K}$ (mBq/kg)	$^{232}\text{Th}$ (mBq/kg)	$^{238}\text{U}$ (mBq/kg)	$^{210}\text{Pb}$ (mBq/kg)
D0	1.33±0.04	$(4\pm1) \cdot 10^{-3}$	$(10\pm2) \cdot 10^{-3}$	3.15±0.10
D1	1.21±0.04			3.15±0.10
D2	1.07±0.03	$(0.7\pm0.1) \cdot 10^{-3}$	$(2.7\pm0.2) \cdot 10^{-3}$	0.7±0.1
D3	0.70±0.03			1.8±0.1
D4	0.54±0.04			1.8±0.1
D5	1.11±0.02			0.78±0.01
D6	0.95±0.03	$(1.3\pm0.1) \cdot 10^{-3}$		0.81±0.01
D7	0.96±0.03	$(1.0\pm0.1) \cdot 10^{-3}$		0.80±0.01
D8	0.76±0.02	$(0.4\pm0.1) \cdot 10^{-3}$		0.74±0.01

$^{232}\text{Th}$ ,  $^{238}\text{U}$ : determined by alpha rate following PSA and analysis of BiPo sequences at a level of a few  $\mu\text{Bq/kg}$ , but  $^{210}\text{Pb}$  out of equilibrium

$^{40}\text{K}$ : by identifying coincidences

C. Cuesta et al., Int. J. Mod. Phys. A. 29 (2014) 1443010



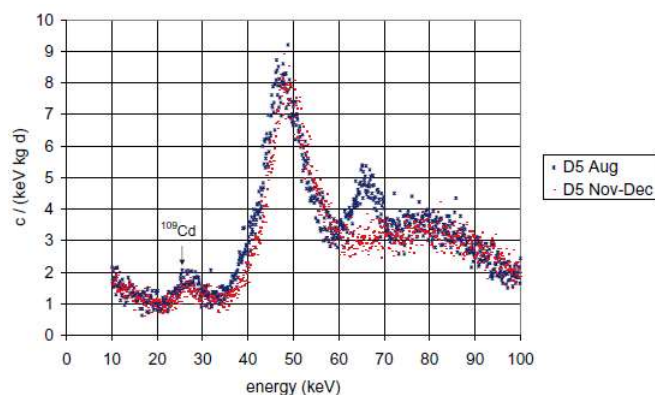
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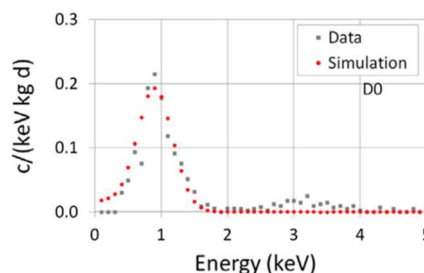
– **Cosmogenic activity** in crystals: short-lived Te, I isotopes,  $^3\text{H}$ ,  $^{22}\text{Na}$ ,  $^{109}\text{Cd}$ ,  $^{113}\text{Sn}$

J. Amaré et al, JCAP 02 (2015) 046; Astropart. Phys.97 (2018) 96; P. Villar et al, Int. J. Mod. Phys. A 33 (2018) 1843006

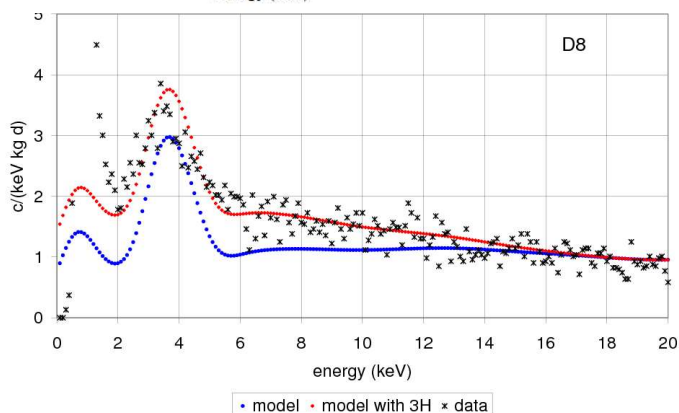
$^{109}\text{Cd}$ ,  $^{113}\text{Sn}$ : from peaks at binding energies of K-shell electrons (after EC)



$^{22}\text{Na}$ : from analysis of coincidences in different set-ups, validated comparing with measured rate at 0.9 keV peak



Detector	ANAIS-25 [41]	ANAIS-37 [42]	A37D3	ANAIS-112
D0	$159.7 \pm 4.9$	$158.4 \pm 7.9$	$164 \pm 17$	$155 \pm 11$
D1	$159.7 \pm 4.9$			$168 \pm 11$
D2		$70.2 \pm 3.9$	$57.6 \pm 8.1$	$43.9 \pm 6.0$
D3			$69.9 \pm 3.6$	$68.6 \pm 4.6$
D4				$61.8 \pm 3.1$
D5				$43.7 \pm 2.3$
D6				$53.8 \pm 2.7$
D7				$55.6 \pm 2.7$
D8			$A_0 \text{ (kg}^{-1} \text{ d}^{-1}\text{)}$	$56.4 \pm 2.8$

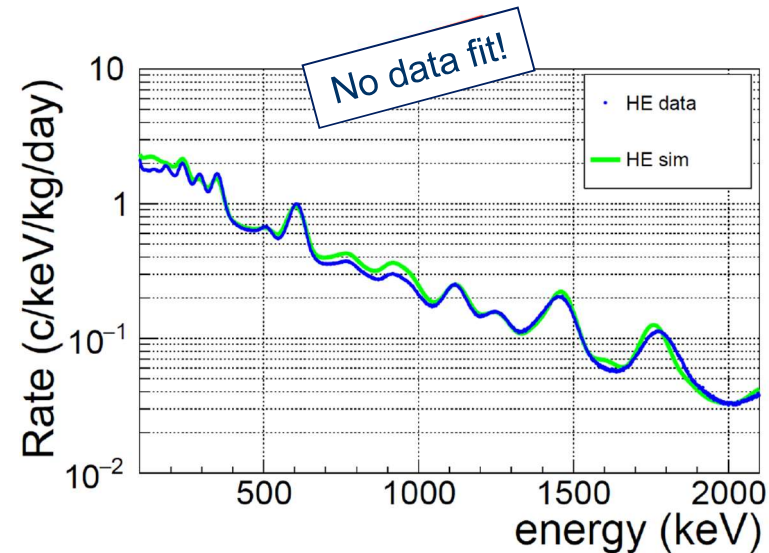
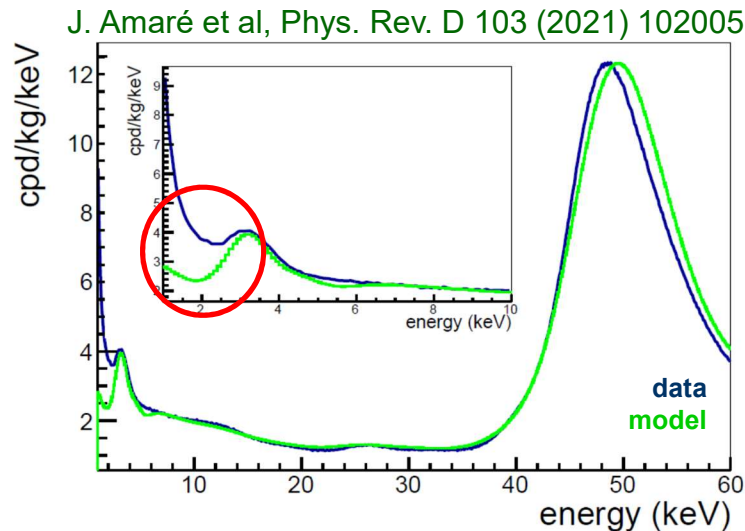


$^3\text{H}$ : additional background source only in the very LE region  
D0-D1: 0.20 mBq/kg, D2-D8: 0.09 mBq/kg



# First background model: results

- Comparison with **ANAIS-112 spectra (3y)** at **low and high energy**



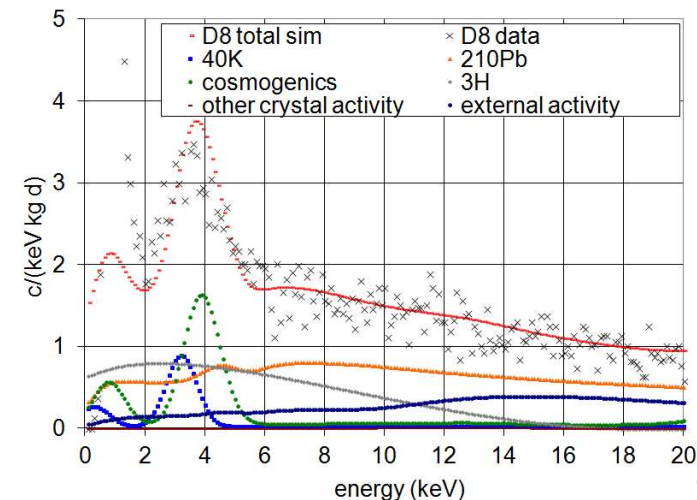
Unexplained events <3 keV could be due to:

- some unknown background source not considered in the model
- non-bulk scintillation events leaking in RoI

- Individual contributions in ANAIS-112**

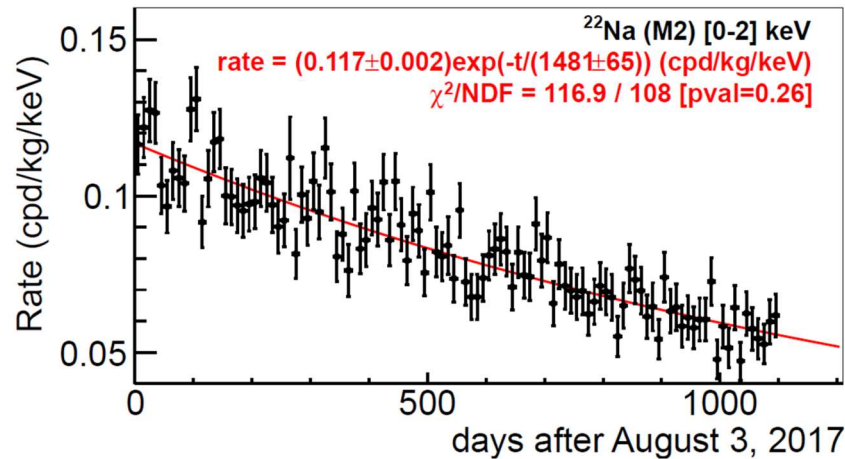
$^{40}\text{K}$  and  $^{22}\text{Na}$  peaks and  $^{210}\text{Pb}$  (bulk+surface) and  $^3\text{H}$  continua are main contributors in RoI

$^{210}\text{Pb}$ :	32.5%	$^3\text{H}$ :	26.5%
$^{40}\text{K}$ :	12%	$^{22}\text{Na}$ :	2.0%



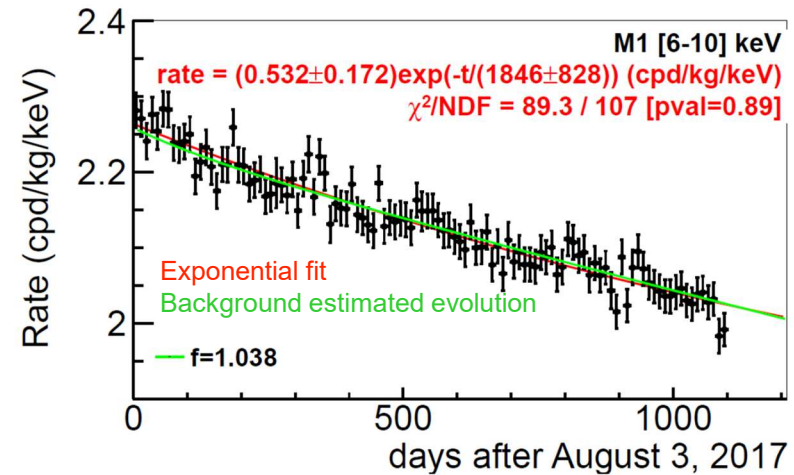
# First background model: results

- Time evolution:

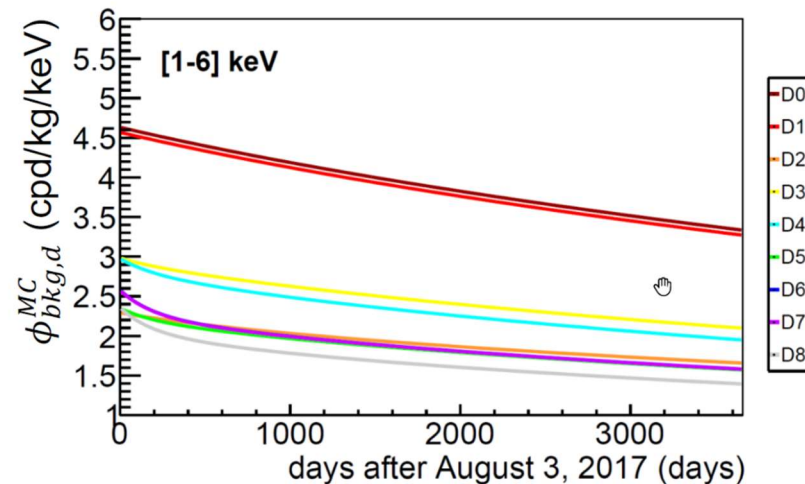


Measured rate of  $^{40}\text{K}$  and  $^{22}\text{Na}$  events (identified by coincidences with HE gamma) has proper decay

Prediction from decaying cosmogenics and  $^{210}\text{Pb}$  used in annual modulation analysis



Model reproduces the rate decay inside and outside the RoI



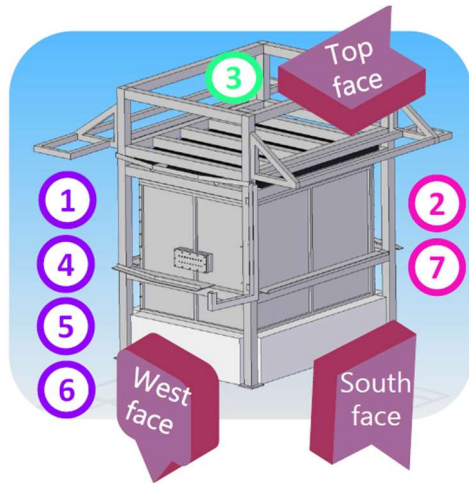
# Machine-learning techniques: method

A **Boosted Decision Tree (BDT)** developed to improve the rejection of PMT-related noise: multivariate analysis combining several variables into one parameter

I. Coarasa et al, JCAP11(2022)048

- **Training populations** independent from background data:

- **Signal:** in situ neutron calibrations with  $^{252}\text{Cf}$



**BDT**

Standard analysis

$$P_1 = \frac{\sum_{100 \text{ ns}}^{600 \text{ ns}} A(t)}{\sum_{0 \text{ ns}}^{600 \text{ ns}} A(t)} \quad \mu_p = \frac{\sum_i A_i t_i}{\sum_i A_i} \quad n_0, n_1$$

$$P_2 = \frac{\sum_{0 \text{ ns}}^{50 \text{ ns}} A(t)}{\sum_{0 \text{ ns}}^{600 \text{ ns}} A(t)} \quad \text{Asynphe} = \frac{nphe_0 - nphe_1}{nphe_0 + nphe_1}$$

$$CAP_x = \frac{\sum_{0 \text{ ns}}^x A(t)}{\sum_{0 \text{ ns}}^{t_{max}} A(t)}$$

$x = 50, 100, 200, 300, 400, 500, 600, 700, 800 \text{ ns}$

- **Noise:** blank module



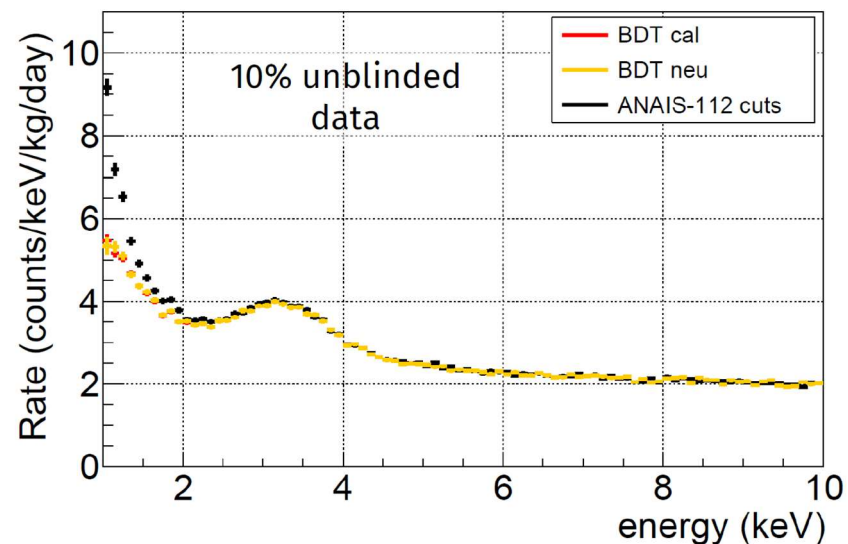
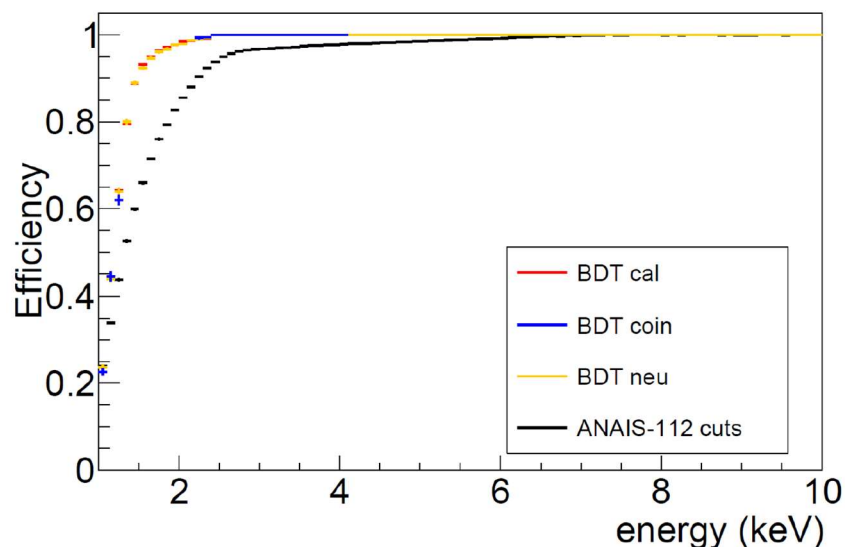
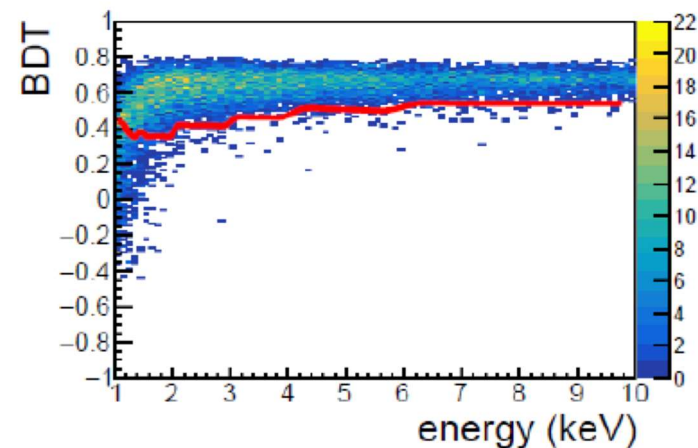
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I. Coarasa et al, JCAP11(2022)048

- **Cuts** on BDT (-1 noise, +1 signal) defined for each energy bin and detector

- Much improved **acceptance efficiency** by **30%** and **background reduction** by **~18%** in 1-2 keV, although with still some excess over background model





# Machine-learning techniques: results for annual modulation

Improved annual modulation results with **3 y data**  $2.5\sigma \rightarrow 2.8\sigma$

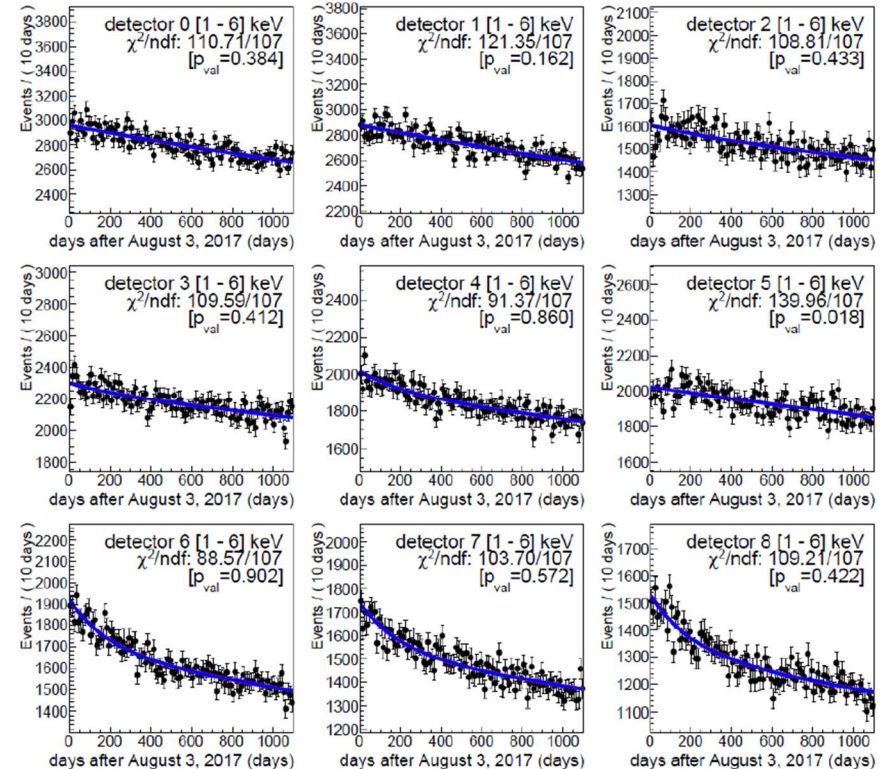
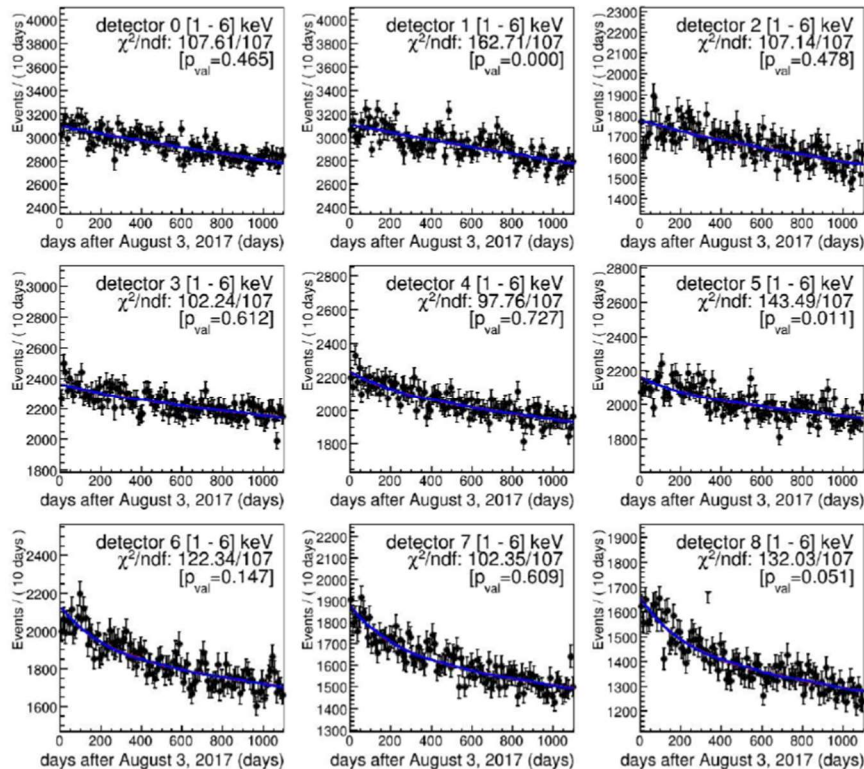
I. Coarasa et al, arXiv:2404.17348, accepted in Comm. Phys.

PRD103(2021)102005

arXiv:2404.17348

Null hyp  $\chi^2/\text{nfd}$ : 1075.81/972 [ $p_{\text{val}}=0.011$ ]  
 Mod hyp  $\chi^2/\text{nfd}$ : 1075.15/971 [ $p_{\text{val}}=0.011$ ]  
 $S_m = (-0.0034 \pm 0.0042)$  (cpd/kg/keV)

Null hyp  $\chi^2/\text{nfd}$ : 982.20/972 [ $p_{\text{val}}=0.403$ ]  
 Mod hyp  $\chi^2/\text{nfd}$ : 982.07/971 [ $p_{\text{val}}=0.395$ ]  
 $S_m = (-0.0013 \pm 0.0037)$  (cpd/kg/keV)



Incompatible with DAMA/LIBRA at  $3.2\sigma$  ( $1.9\sigma$ ), with a present sensitivity of  $2.8\sigma$

# Machine-learning techniques: results for annual modulation

## Preliminary annual modulation results with 6 y data

642.05  
kg × y

[1-6] keV: **4.2σ**

Null hyp  $\chi^2/\text{ndf}$ : 699.60/639 [ $p_{\text{val}}=0.048$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 699.53/638 [ $p_{\text{val}}=0.046$ ]

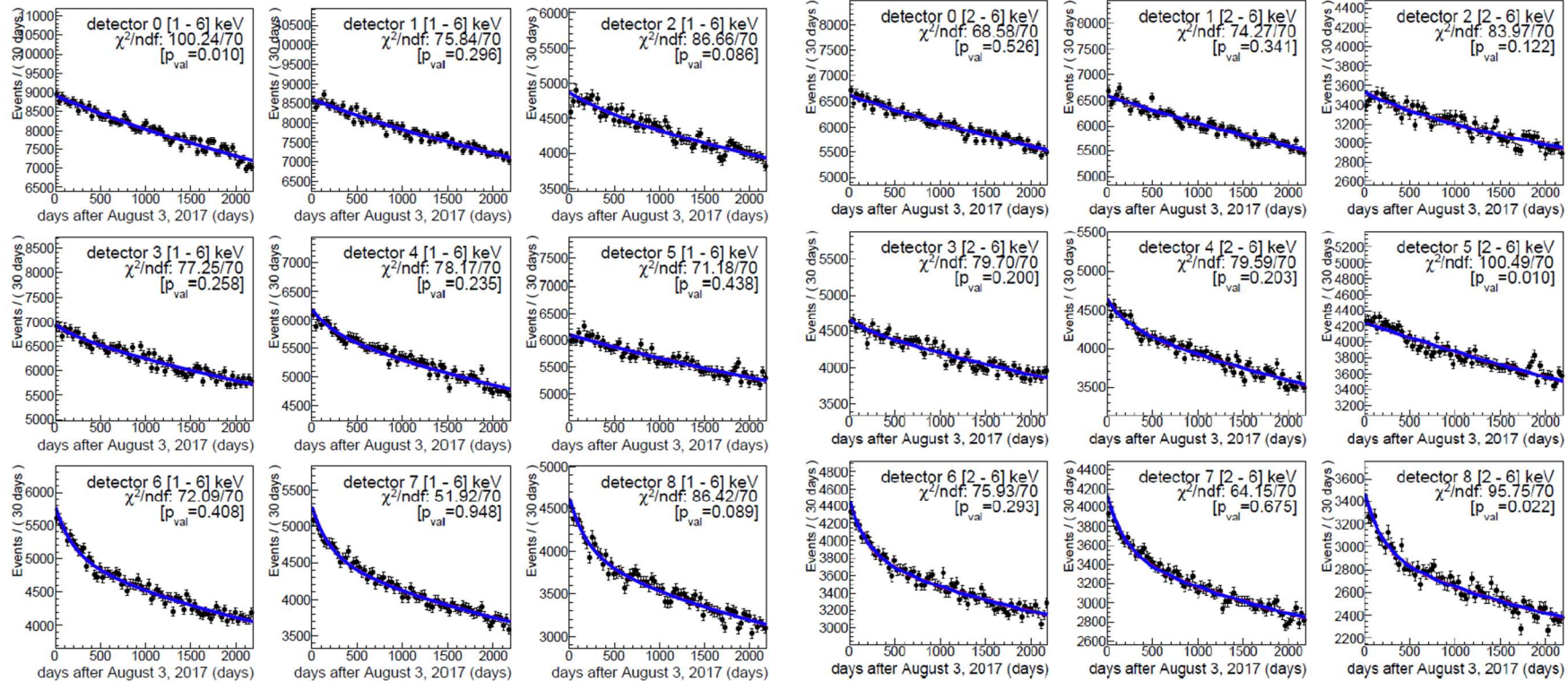
$S_m = (0.0007 \pm 0.0025)$  (cpd/kg/keV)

[2-6] keV: **4.1σ**

Null hyp  $\chi^2/\text{ndf}$ : 723.68/639 [ $p_{\text{val}}=0.011$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 722.17/638 [ $p_{\text{val}}=0.011$ ]

$S_m = (0.0030 \pm 0.0025)$  (cpd/kg/keV)

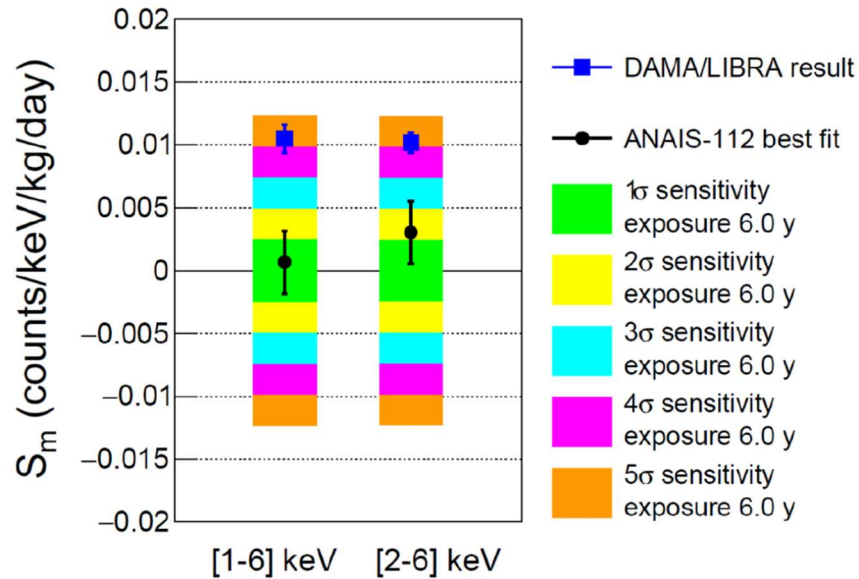


P  
R  
E  
L  
I  
M  
I  
N  
A  
R  
Y

Incompatible with DAMA/LIBRA at **3.9σ** (**2.9σ**) at [1-6] ([2-6]) keV



# Machine-learning techniques: results for annual modulation



P  
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Y

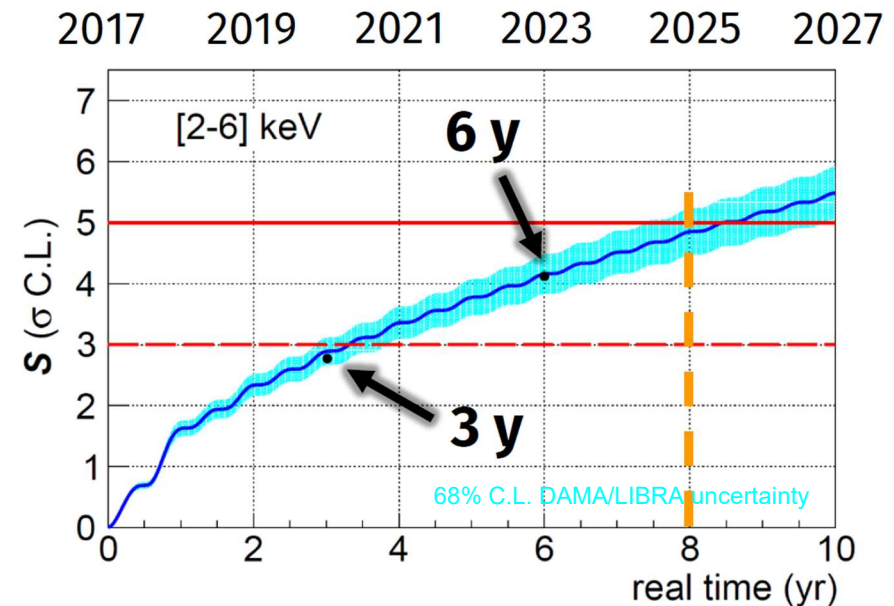
Open data available for independent analysis at Dark Matter Data Center:

<https://www.origins-cluster.de/odsl/dark-matter-data-center/available-datasets/anais>

## Sensitivity to DAMA/LIBRA result

$$S = S_m^{\text{DAMA}} / \sigma(S_m)$$

Considering obtained background from machine-learning techniques: **5σ in 8 y**



# Updated background model

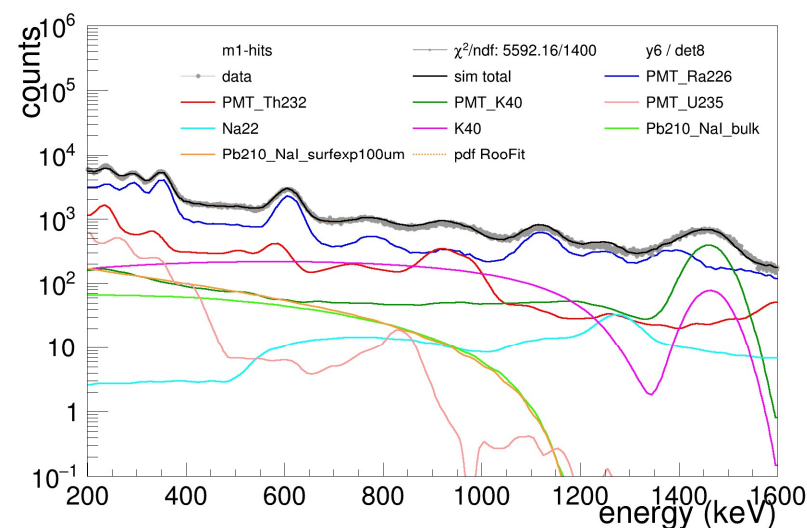
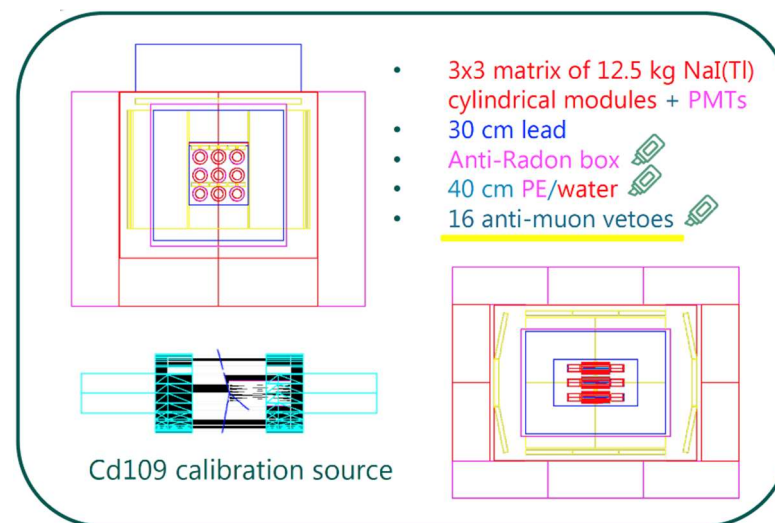
**Motivation:** activity of  $^3\text{H}$  and  $^{210}\text{Pb}$  on crystal surface not independently measured, but both are very relevant in LE counting rate and time evolution  
→ Improved description is being attempted by **fitting** simulations to data

- **Experimental input:** 7-year data in HE and LE regions (excluding RoI), anticoincidence and coincidence events
- Geant4 version: v10.7 vs v9.4.1
- Extended **geometry**, improved description of PMTs
- **Multiparametric fit** using RooFit considering different simulated contributions from all sources to get pdfs

**9 crystals** (K40, Pb210, Th232, U238, U235, H3, Na22, Cd109, Sn113, I's, Te's)

**18 PMTs** (K40, Ra226, Th232, U238, U235)

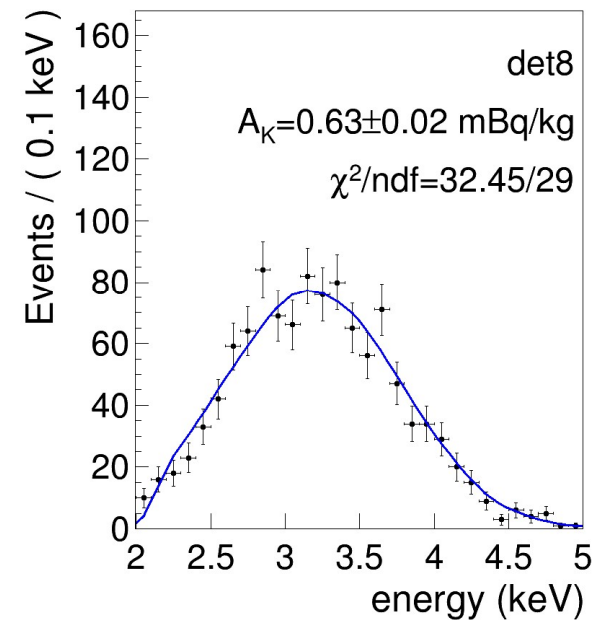
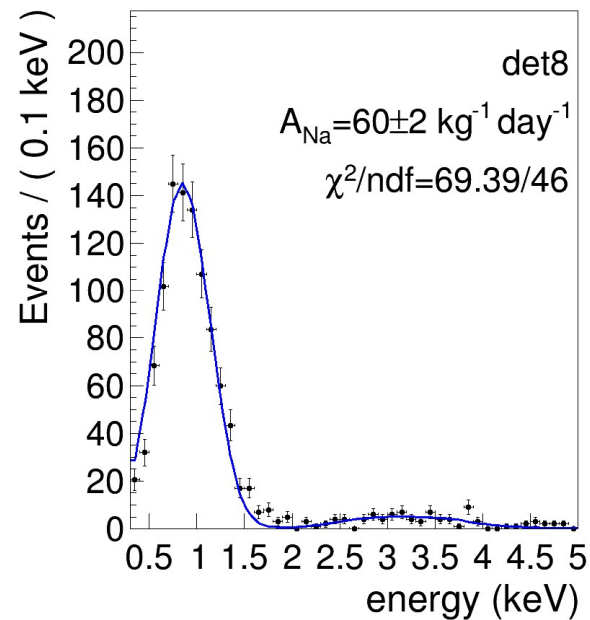
**Others:** 9 Cu housing, 18 SiPads, 18 Quartz windows (K40, Ra226, Th232, U238)





# Updated background model

Main activities well reproduced from the fit



- **Optical simulation** of light signals ongoing to understand the response to specific components of background



# Prospects: ANAIS+

**Motivation:** replacing PMTs by SiPMs (at 100 K) could allow a **reduction in energy threshold  $<0.5 \text{ keV}_{ee}$**

- Better sensitivity, specially to light WIMPs and SD interaction

## Test set-up at Zaragoza

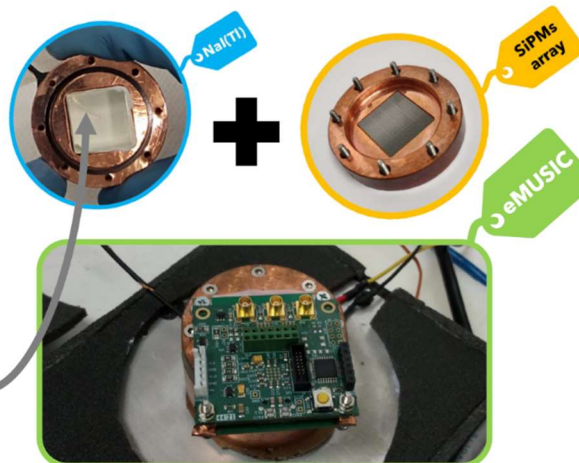
First ANAIS+ set-up:

**Scintillator crystal:** NaI(Tl)/NaI 1" cube.

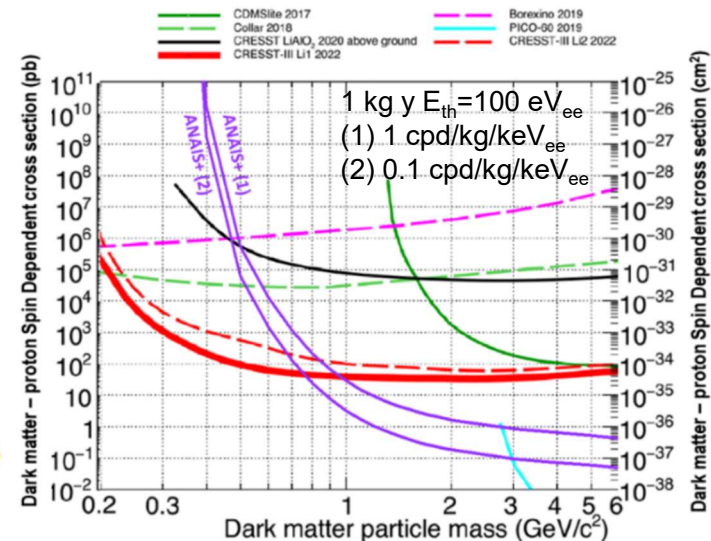
**SiPMs array:** HAMAMATSU S13361-6050AE-04 (25 x 25 mm).

**Readout electronics:** MUSIC (Multiple Use SiPM Integrated Circuit).

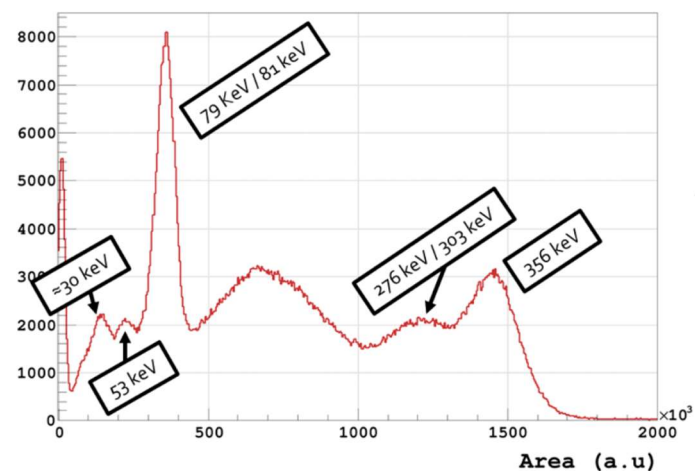
**Optical fiber** placed under the scintillator cube used to inject LED light to the SiPMs array.



SiPMs characterization and study of light collection from room temperature to  $\approx 30 \text{ K}$



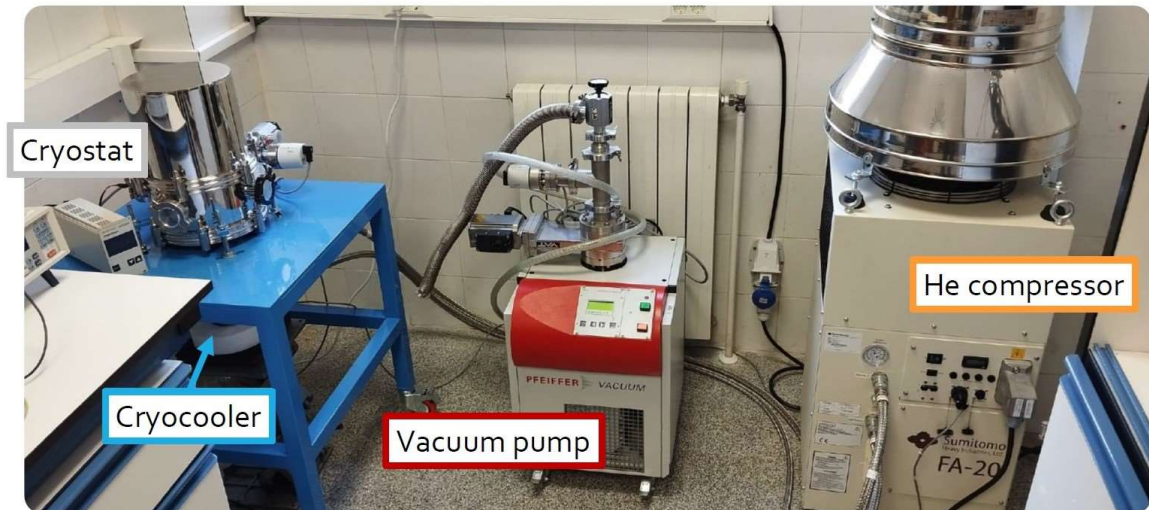
$^{133}\text{Ba}$  spectra Room T [ $V_{ov} \approx 6 \text{ V}$ ]



# Prospects: ANAIS+

## Test set-up at Zaragoza

Cryogenic facility ready

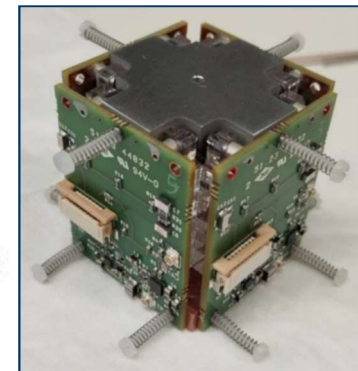
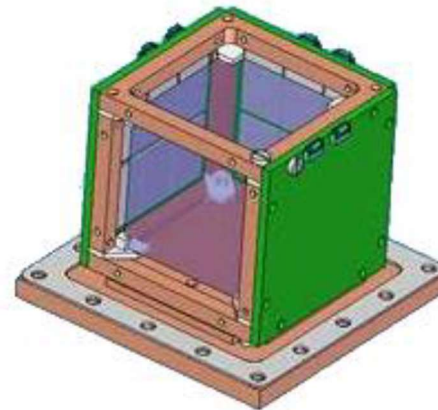


## ANAIS+ prototype prepared and tested at LNGS

Four faces covered by SiPMs

Further tests at Zaragoza

Medium / long term: test in **LAr** (thermal bath+veto) at Canfranc in collaboration with **CIEMAT**



# Summary

- **ANAIS-112** is taking data smoothly for **7 years** to have a definitive, independent test of the **DAMA/LIBRA annual modulation result**

**No modulation is observed; preliminary results are incompatible with DAMA/LIBRA signal at  $3.9\sigma$  ( $2.9\sigma$ ) at [1-6] ([2-6]) keV from 6-year data**

- **$5\sigma$  sensitivity** is expected for late 2025
- Thorough **background study** underway since the beginning of the project
  - **First background model** based on quantified activities from different techniques
    - Helped to identify main background sources
    - Described well time evolution and measured spectra except at very low energy
  - **Machine-learning techniques** have allowed to partly reduce observed excess, improving significantly the sensitivity
  - **Updated background model** in development by fitting data to better describe some components
- **ANAIS+** project with SiPMs underway, with first prototypes in development



# Acknowledgements

## Thank you for your attention!



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